

POLLOCK LAW LLC

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Attorneys for Plaintiffs Arthur Martin and Wade Martin

ARTHUR MARTIN AND WADE MARTIN,

Plaintiffs,

vs.

MORGAN STANLEY, MORGAN
STANLEY SMITH BARNEY, LLC,
MORGAN STANLEY COMPENSATION
MANAGEMENT SUCCESSION
COMMITTEE, AND JOHN DOES 1-20,

Defendants.

SUPERIOR COURT OF NEW JERSEY

LAW DIVISION

MERCER COUNTY

DOCKET NO.

MER-L-1135-25

Civil Action

ORDER TO SHOW CAUSE

THIS MATTER having been opened to the Court by plaintiffs Arthur Martin and Wade Martin (Martin Plaintiffs), by and through its attorneys at Pollock Law, LLC (Jeffrey M. Pollock, Esq., appearing) by way of Verified Complaint and Order to Show Cause pursuant to Rule 4:52, based upon the facts set forth in the Verified Complaint and papers filed herewith; and for good cause shown;

IT IS on this 3rd day of June, 2025;

ORDERED that defendants Morgan Stanley shall show cause on the 24th day of July, 2025 at 9:00 a.m. virtually before the Mercer County Superior Court, Civil Division why an Order should not be entered:

(1) compelling defendants Morgan Stanley to disgorge the amounts vested for the Martin Plaintiffs, which

amounts are wrongfully being withheld by defendant Morgan Stanley; and (2) granting such other relief as the Court deems equitable and just;

IT IS FURTHER ORDERED that a copy of this Order to Show Cause, Verified Complaint, Brief, and any supporting affidavits or certifications submitted in support of this application shall be served upon You within 14 days of the date of this Order in accordance with R. 4:4-3 and R. 4:4-4, this being original process;

IT IS FURTHER ORDERED that plaintiffs Arthur and Wade Martin must file with the Court its proof of service of the pleadings on organ Stanley no later than three (3) days before the return date;

IT IS FURTHER ORDERED that You shall file written opposition to the Order to Show Cause by way of Answer, answering affidavit or motion, and proof of service by July 14, 2025. The answer, affidavit or a motion, as the case may be, must be filed with the Clerk of the Superior Court in the county listed above and a copy of the papers must be sent directly to the chambers of Judge Douglas Hurd. A directory of these offices is available in the Civil Division Management Office in the county listed above and online at njcourts.gov. Include a filing fee in accordance with the Court Rules payable to the “Treasurer State of New Jersey.”

You must also send a copy of Your papers to Martin’s counsel, whose name and address appear above. A telephone call will not protect Your rights, You must file and serve Your opposition (with the fee) if You want the Court to hear its opposition to the relief Martin Plaintiffs are seeking;

IT IS FURTHER ORDERED that if You do not file and serve opposition to this Order to Show Cause, the application may be decided on the papers on the return date and relief may be granted by default;

IT IS FURTHER ORDERED that Denali must file and serve any written reply to the response of a party in interest by July 18, 2025. The reply papers together with a proof of service must be filed with the Court via eCourts/JEDs and served upon Morgan Stanley;

IT IS FURTHER ORDERED that You take notice that Martin Plaintiffs, named above, has filed a lawsuit in the Superior Court of New Jersey. The Verified Complaint attached to this Order to Show Cause states the basis for this lawsuit. If You dispute this Complaint, You or Your attorney must file a written Answer to the Complaint and Proof of Service within 35 days from the date of service of this Order to Show Cause. These documents must be filed with the Clerk of

the Superior Court in the County listed above. Include the filing fee \$175 payable to the “Clerk of the Superior

Court.” You must also send a copy of Your answer to Denali’s attorneys listed above. A telephone call will not protect Your rights, You must file and serve Your Answer (with the fee) or judgment by default may be entered against You. Please note, opposition to the Order to Show Cause is not an Answer.

IT IS FURTHER ORDERED that if You do not file and serve an Answer within 35 days of service of this Order, the Court may enter a default against You for the relief Denali demands. If Denali seeks monetary damages, then the Court may enter an order of judgment against You, and any interest accrued on the damages amount and cost of the lawsuit. If a money judgment is entered against You, the Sheriff may seize Your money, wages, or property to pay all or part of the judgment. If You cannot afford an attorney, You may call the Legal Services office in the county in which You live or the Legal Services of New Jersey Statewide Hotline at 1-888-LSNJ-LAW (1-888-576-5529). If You do not have an attorney and are not eligible for free legal assistance You may obtain a referral to an attorney by calling one of the Lawyer Referral Services. A directory with contact information for local Legal Services Offices and Lawyer Referral Services is available in the Civil Division Management Office in the county listed above and online at njcourts.gov.

IT IS FURTHER ORDERED the Court will conduct a plenary hearing and entertain argument and testimony on the return date of the order to show cause related to the relief sought in the Order to Show Cause and Verified Complaint.

/s/ Douglas H. Hurd

Hon. Douglas H. Hurd, P.J. Cv.

